



## **MINUTES OF A MEETING OF THE AUDIT AND GOVERNANCE COMMITTEE HELD ON 27th OCTOBER 2011**

**PRESENT:** Councillor M Gant (Chair), Councillors S Doyle, P Seekings and R Cook

**Officers** John Wheatley (Deputy Chief Executive and Corporate Director (Resources)), Jane Hackett (Solicitor to the Council and Monitoring Officer) and Angela Struthers (Head of Internal Audit Services)

### **28 APOLOGIES FOR ABSENCE**

None

### **29 MINUTES OF THE PREVIOUS MEETING**

The minutes of the meeting held on 22 September 2011 were approved and signed as a correct record.

*(Moved by Councillor R Cook and seconded by Councillor M Gant)*

### **30 DECLARATIONS OF INTEREST**

There were no Declarations of Interest.

### **31 RISK MANAGEMENT REPORT 2011/12**

The report of the Head of Internal Audit Services informing Members on the Risk Management process and progress made to date for the current financial year was considered.

**RESOLVED:** That the Committee considered and endorsed the Risk Management Process and the progress made to date for the current financial year.

**32 INTERNAL AUDIT QUARTERLY REPORT 2011/12 (QUARTER 2 JULY - SEPT)**

The Report of the Head of Internal Audit Services informing Members on the outcome of Internal Audit's review of the internal control, risk management and governance framework in the second quarter of 2011/12, and providing Members with assurance of the ongoing effective operation of an internal audit function and enabling any particularly significant issues to be brought to the Committee's attention was considered.

**RESOLVED:** That the Committee considered and endorsed the Internal Audit Review and the assurance of an ongoing effective operation of the internal audit function.

**33 REGULATION OF INVESTIGATORY POWERS ACT 2000**

The Report of the Solicitor to the Council informing members of the surveillance carried out under the Regulation of Investigatory Powers Act 2000 was considered.

**RESOLVED:** That the quarterly RIPA monitoring report which indicated that no RIPA Applications in the quarterly period to 30 September 2011 was endorsed.

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Chair